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## Gene activation and deactivation related changes in the three-dimensional structure of chromatin

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**Abstract** Chromatin in the interphase nucleus is dynamic, decondensing where genes are activated and condensing where they are silenced. Local chromatin remodelling to a more open structure during gene activation is followed by changes in nucleosome distribution through the action of the transcriptional machinery. This leads to chromatin expansion and looping out of whole genomic regions. Such chromatin loops can extend beyond the chromosome territory. As several studies point to the location of transcription sites inside chromosome territories as well as at their periphery, extraterritorial loops cannot simply be a mechanism for making transcribed genes accessible to the transcriptional machinery and must occur for other reasons. The level of decondensation within an activated region varies greatly and probably depends on the density of activated genes and the number of engaged RNA polymerases. Genes that are silenced during development form a more closed chromatin structure. Specific histone modifications are correlated with gene activation and silencing, and silenced genes may become associated with heterochromatin protein 1 homologues or with polycomb group complexes. Several levels of chromatin packaging are found in the nucleus relating to the different functions of and performed by active genes; euchromatic and heterochromatic regions and the models explaining higher-order chromatin structure are still disputed.

### Unravelling higher-order chromatin structure

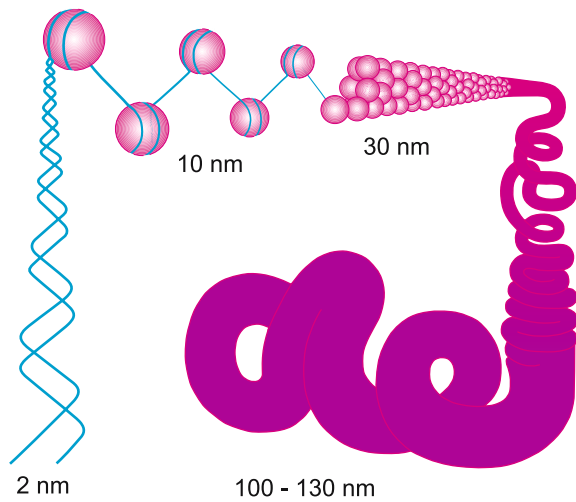
In eukaryotes, DNA is complexed with histones. One hundred and forty-six base pairs of DNA are wound in 1.75 turns around an octamer of the core histones H2A, H2B, H3 and H4 in the nucleosome core particle. The interaction of a linker histone (H1) with the DNA between two core nucleosomes (linker DNA) increases the number of base pairs to 165 corresponding to two turns (Bednar et al. 1998). The addition of linker histone therefore contributes to chromatin condensation (Horn and Peterson 2002). Short linker DNA also contributes to DNA compaction, whereas longer linker DNA has the opposite effect. Thus, the primary level of chromatin structure is represented by the 10-nm chromatin fibre or beads-on-a-string conformation of extended arrays of nucleosomes (Woodcock and Dimitrov 2001) (Fig. 1). Naked B-DNA has a length of  $2.9 \text{ kb } \mu\text{m}^{-1}$  and becomes about sevenfold compacted in a 10-nm fibre (Watson and Crick 1953; Goodrich and Tweedie 2002). Secondary chromatin structure is formed by nucleosome interactions, the most prominent of which is a 30-nm-diameter fibre with a compaction of 40- to 50-fold (Woodcock and Dimitrov 2001). The 30-nm fibre is thought to consist of a nucleosome helix, but its exact structure is still being debated (Dorigo et al. 2004). Still, higher levels of chromatin structure are formed by long-range interactions between the 30-nm fibres. A classical model of such higher-order structure is the chromonema fibre in which thinner fibres are folded to yield thicker ones with a diameter of 100 to 130 nm and about 500-fold compaction (Belmont and Bruce 1994). Other models propose radial 30-nm-fibre loops of various lengths connected to a central protein scaffold (Cremer and Cremer 2001). The nucleosome affinity, random chain model, dispenses with 30-nm fibres altogether and assumes random chains of nucleosomes exploring a given space (Müller et al. 2004). None of these models have been proven yet, and all are consistent with the observation that decondensed chromatin forms a series of adjacent beads and that active transcription is required for the maintenance of decondensed chromatin, an observation that has been made re-

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**Fig. 1** Progressive chromatin condensation. The DNA helix with a diameter of 2 nm is wrapped around nucleosomes to yield the beads-on-a-string conformation of the 10-nm chromatin fibre. The latter condenses to a helical structure, the 30-nm fibre, which can compact into chromonema fibres with a diameter of 100–130 nm

peatedly in different organisms (Tsukamoto et al. 2000; Müller et al. 2001, 2004; Wegel et al. 2005).

Histones are subject to a variety of post-translational modifications, principally in their conformationally flexible N-terminal tails. Modifications of specific residues include phosphorylation, ubiquitination, acetylation and mono-, di- or tri-methylation. The number of possible permutations of the various modifications is extremely large and has been proposed to constitute a ‘histone code’, an epigenetic mechanism for conferring differing degrees of transcribability on different regions of chromatin (Jenuwein and Allis 2001).

### Chromosome territories and the distribution of transcription sites

Each chromosome occupies a distinct space, its own territory, in interphase nuclei (Cremer et al. 1982, 1988). Chromosome territories have been visualized using chromosome-specific *in situ* paints or by genomic *in situ* hybridisation (GISH), detecting alien chromosomes in addition lines (Hochstrasser et al. 1986; Abranches et al. 1998; Jin et al. 2000; Cremer and Cremer 2001). These studies have shown variability in shape and positioning of chromosome territories. In yeast, *Drosophila* and wheat, chromosomes span the width of the nucleus in rod-like structures with the two arms of each chromosome close together and centromeres and telomeres located at opposite poles in a Rabl configuration (Abranches et al. 1998). In contrast, mammalian chromosomes usually have a radial distribution where chromosomes are either peripherally or centrally positioned and have an irregular territory shape (Cremer and Cremer 2001). Selective labelling of individual chromosomes has suggested that chromosome territories are mostly separated into non-overlap-

ping spaces (Visser and Aten 1999; Visser et al. 2000), although definitive proof of this will be hard to obtain.

Incorporation of bromo-UTP in run-on transcription, followed by immunofluorescence detection of the incorporated BrUTP, has shown that transcription occurs in many distinct small foci. In wheat, these transcription sites are uniformly distributed throughout the nucleoplasm, while the nucleoli contain a much higher concentration of transcription sites and are more intensely labelled by BrUTP (Abranches et al. 1998). No evidence has been found for a preferential localisation of transcription sites near the chromosome territorial boundaries or exclusion from the interior of chromosome territories. For mammalian nuclei, a study using BrUTP labelling of nascent transcripts in a cell line expressing green fluorescent protein (GFP) tagged histone H2B showed that, except for nucleoli and speckles, almost all nascent RNA co-localises with chromatin domains and that there is no preferential localisation in chromatin-depleted areas (Sadoni and Zink 2004). However, another study analysing bromo-UTP incorporation at the electron microscope level found newly synthesised RNA mainly at the periphery of condensed chromatin regions in perichromatin fibrils (Cmarko et al. 1999), which might be transcription sites on chromatin loops outside the chromosome territory.

### Heterochromatin and euchromatin

Heterochromatin was originally cytologically defined as highly condensed chromatin (Heitz 1928). In *Arabidopsis*, for example, the heterochromatic knob on chromosome 4 shows about 350-fold condensation ( $1 \text{ Mb } \mu\text{m}^{-1}$ ), whereas an adjacent euchromatic region has a condensation ratio of about 60-fold ( $180 \text{ kb } \mu\text{m}^{-1}$ ) (Fransz et al. 2002). Heterochromatin is thought to consist of regular nucleosomal arrays, which impede access by nucleases and contain a high proportion of transcriptionally inactive repetitive sequences interspersed by relatively few genes (Elgin and Grewal 2003; Grewal and Moazed 2003). Heterochromatin has traditionally been subdivided into constitutive heterochromatin, chromatin that is always condensed, and facultative heterochromatin, which may decondense in some circumstances. Chromosomal regions around the centromeres and telomeres are examples of constitutive heterochromatin, whereas genes silenced from a certain point in development onwards can form facultative heterochromatin interspersed along chromosome arms. In organisms with large genomes, constitutively heterochromatic regions are also found along chromosome arms. Euchromatin is considered to be decondensed because of irregular nucleosome spacing, is relatively gene rich and is potentially transcriptionally active (Elgin and Grewal 2003). However, these differences are not always clear-cut as a recent analysis of the human genome showed that some pericentromeric regions are decondensed and that some euchromatic regions are condensed (Gilbert et al. 2004). Epigenetic marks of silent chromatin in higher eukaryotes are histone hypoacetylation, di- or trimethylation of lysine 9 at histone

H3 (H3K9 di- or trimethylation) as well as cytosine methylation (Fischle et al. 2003; Grewal and Moazed 2003; Wu et al. 2005). Euchromatin is characterised by histone hyperacetylation and dimethylation of lysine 4 at histone H3 (H3K4 dimethylation) (Fischle et al. 2003).

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### Large-scale chromatin decondensation

Transcription-related chromatin decondensation was first observed when specific transcriptional activators were directed to multi-transgene loci labelled in vivo by GFP and its variants in mammalian cells (Tumbar et al. 1999; Tsukamoto et al. 2000). Although considerable chromatin decompaction was detected by in vivo labelling and confirmed by fluorescence in situ hybridisation, the loci in these studies were highly artificial because they used heterologous sequences and promoters. However, chromatin decondensation was also seen in the developmentally activated, murine *HoxB* gene cluster (Chambeyron and Bickmore 2004). In addition, the active genes in the *HoxB* cluster as well as the major histocompatibility complex (MHC) and other gene-rich regions on mammalian chromosomes were found in loops emanating from the chromosome territory (Volpi et al. 2000; Mahy et al. 2002; Chambeyron and Bickmore 2004). In all cases, the frequency with which a genomic region was detected on an external chromatin loop appeared to be related to the number of active genes in that region. In plants, few studies on transcription-related chromatin decondensation have been published so far. The *Arabidopsis* gene *ddm1* encodes a SWI2/SNF2-like chromatin-remodelling factor. In the *ddm1* mutant background, transcriptional gene silencing of a transgenic locus is released, the transgenes are transcribed and the locus is decondensed (Probst et al. 2003). In wheat, transgene loci containing developmentally regulated endogenous genes for the seed storage protein glutenin under the control of their own promoters decondense upon transcriptional activation during seed development (Wegel et al. 2005). Based on their size and shape, the decondensed transgene loci appeared to extend beyond the confines of the wheat chromosome territory.

Chromatin has been shown to be transcriptionally active at various degrees of compaction. A highly amplified heterochromatic transgene locus in a mammalian cell line spanning 90 Mb and containing multiple repeats of the lac operator decondensed from about 30,000-fold compaction to 1,000-fold after activation (Tumbar et al. 1999). A 375-kb region of the human MHC showed a packing order of about 100-fold before induction with interferon and about 60-fold after induction (Müller et al. 2004). A higher decondensation ratio than in the mammalian systems can be calculated for the transgene locus in wheat mentioned above (Wegel et al. 2005). This locus consists of about 20 transgene copies of 10 kb each. Fibre spreads of the locus suggested that there are short genomic regions interspersed with the repeated transgenes (EW and PJS, unpublished data). The entire locus, transgene copies and interspersed genomic sequences are probably less than

500 kb and the vast majority of the genes are transcriptionally active. Given the locus sizes visualized by FISH (Wegel et al. 2005), this would correspond to a compaction of about 100-fold before activation and of about 11-fold in its most decondensed form after activation. These values would mean that transcription takes place with the chromatin dispersed almost to the level of the 10-nm fibre. Possibly, the highest degree of decondensation has been found in the active 75S RNA genes in the Balbiani rings of dipterian chromosomes showing a DNA compaction ratio of 3.6, i.e. below the histone-coated 10-nm fibre (Daneshmandi et al. 1982). The last example illustrates the degree of decondensation in a highly transcribed gene with few nucleosomes left on the chromatin during transcription (Daneshmandi et al. 1982). Likewise, active rRNA genes each have many engaged RNA polymerases and are decondensed to similar compaction ratios (Gonzalez-Melendi et al. 2001). An explanation for this is that in order for the RNA polymerase to gain access to the template, 30-nm fibres have to uncoil locally followed by histone displacement where the polymerase moves through. How far a gene decondenses then depends on the number of engaged RNA polymerases at any given moment, and packing ratios at transcribed loci reflect the amount of chromatin that is transcriptionally activated in a sequence.

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### Effectors of chromatin decondensation during gene activation

There is good evidence that during transcriptional activation, chromatin expands in three stages: first, the initial factor (activator) gets access to the nucleosomal DNA; second, chromatin opens locally, mediated by an activator/coactivator; and third, the transcription machinery causes extensive chromatin opening (for extensive reviews see, Lemon and Tjian 2000; Li et al. 2004). Once gene-specific transcriptional activators occupy their binding sites on promoters or enhancers, local chromatin decondensation is mediated by the recruitment of two types of coactivators: an adenosine-5'-triphosphate (ATP) dependent, SWI/SNF-like chromatin-remodelling complex and a histone acetyltransferase (HAT) (Lemon and Tjian 2000; Horn and Peterson 2002; Li et al. 2004). Both HATs and SWI/SNF seem to disrupt higher-order folding of nucleosomal arrays, and SWI-SNF enzymes can also weaken the nucleosome-DNA interaction (Horn and Peterson 2002). The above-mentioned heterochromatic transgene locus spanning 90 Mb and containing multiple repeats of the lac operator decondensed within minutes upon induction with the transcriptional activator (Tumbar et al. 1999). Elevated levels of histone acetylation (acetylated H3K9) were observed early in the activation of the *HoxB* locus at both *hoxb1* and *hoxb9* several days before activation of the latter (Chambeyron and Bickmore 2004). Recruitment of a chromatin-remodelling enzyme and two HATs was shown during the induction of a tandem array of the mouse mammary tumour virus promoter (Müller et al. 2001). This study also showed a role for RNA polymerase II in producing and maintain-

ing decondensed chromatin, because decondensation was blocked by two-transcription elongation inhibiting drugs, DRB and  $\alpha$ -amanitin. Equally, the frequency of extrateritorial decondensed loops of human genomic regions was reduced when transcription elongation was inhibited by DRB or actinomycin D (Mahy et al. 2002). However, the recruitment of the transcriptional machinery seemed to suffice, and ongoing transcription was not necessary for decondensation of the highly amplified heterochromatic transgene locus mentioned above (Tumbar et al. 1999). Inasmuch as the transgene construct used in this study contained a high number of activator binding sites, their decondensation upon activator binding may well have drowned out any visible effects of transcription-triggered decondensation. Two more studies have addressed the question of whether decondensation precedes gene expression or is the consequence of it, one in animals and one in plants (Janicki et al. 2004; Wegel et al. 2005). In both, nascent RNA was first detected before visible decondensation at the transgene loci. This may argue against the idea that local decondensation is a prerequisite for transcriptional initiation. However, in both cases, it seems that only a subset of genes in the arrays is activated initially and that large-scale decondensation visible by microscopy does not occur until later when a large proportion of the genes have become activated (Janicki et al. 2004).

Enhancers are *cis*-acting elements that increase transcription in an orientation- and distance-independent manner. They play a role in chromatin opening by relocating the target gene locus away from heterochromatin (Francastel et al. 1999; Ragoczy et al. 2003), by affecting histone modifications (Chua et al. 2003) or by initiating intergenic transcription (Li et al. 2004). It has been suggested that intergenic and locus control region (LCR) transcription play a role in maintaining an open chromatin structure and, through this mechanism, affect globin gene expression (Gribnau et al. 2000; Plant et al. 2001). The LCR of the  $\beta$ -globin locus acts as an enhancer, and the current model of its action is the formation of a loop bringing the distant LCR and the promoter into close proximity (De Laat and Grosveld 2003), which could actually be described as condensation rather than decondensation. This might also explain that the frequency of looping from the chromosome territory is increased before activation and is reduced during transcription of the locus (Ragoczy et al. 2003). *Cis*-acting elements may therefore open chromatin locally and at the same time produce a more closed higher-order structure through loop formation. A more detailed analysis of their involvement in gene activation may result in more instances where transcriptional activation does not equal visible chromatin decondensation because of this effect.

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### **Effectors of chromatin condensation during gene-specific silencing**

There is accumulating evidence that RNA interference (RNAi) is a pathway by which centromeric heterochromatin is formed in fission yeast, *Drosophila*, mammals and

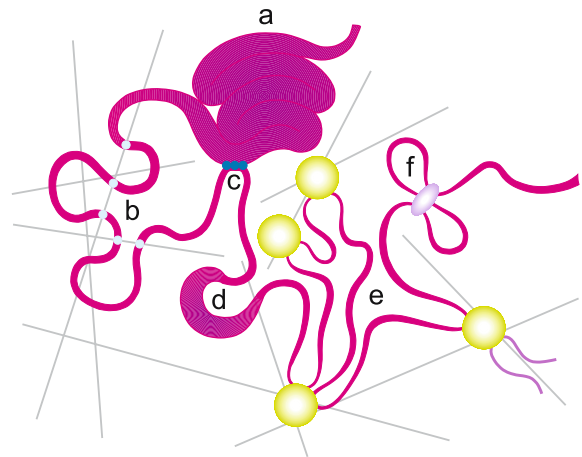
plants (Matzke and Birchler 2005). In this pathway, the repetitive sequences in pericentromeric regions generate transcripts that form double-stranded RNAs. These are processed into short-interfering (si) RNAs, which in turn trigger silencing of homologous sequences through H3K9 methylation and DNA methylation (Finnegan and Matzke 2003; Craig 2005; Matzke and Birchler 2005). Gene silencing during development is generally initiated by DNA sequence-specific transcription factors that act as transcriptional repressors and bind to gene promoters, recruit histone deacetylases and interact with DNA-methyltransferases and histone methyltransferases (Craig 2005). In some cases, silenced genes are moved into the vicinity of heterochromatin. One example is the *brown* locus in *Drosophila* (Dernburg et al. 1996). Another is the mouse terminal transferase gene (*Dnmt*), which becomes silenced during thymocyte (immature lymphocyte) maturation. Silencing starts at the promoter with H3K9 deacetylation, loss of H3K4 methylation and methylation of H3K9, followed by bidirectional spreading of each event (Su et al. 2004). Coincidentally with deacetylation of histone 3, the gene is repositioned to pericentromeric heterochromatin (Su et al. 2004). One factor implicated in repositioning and permanent silencing of genes during lymphocyte development is the Ikaros DNA-binding protein. Ikaros has been shown to interact with chromatin-remodelling components, such as histone deacetylases, it co-localises with many inactive genes in lymphocytes and it can bind to specific sequences in the promoters of many lymphoid-specific genes as well as the repetitive DNA that surrounds mouse centromeres (Fisher and Merkenschlager 2002). It has been suggested that Ikaros might function as a transcriptional repressor and mediate the permanent inactivation of genes through recruitment to heterochromatin domains (Fisher and Merkenschlager 2002).

Several chromosomal proteins have been shown to mediate heterochromatin formation by binding to histones and condensing nucleosomal arrays. Heterochromatin protein 1 (HP1) in *Drosophila* and mammals is a structural component of silent chromatin at telomeres and centromeres. It was first discovered as a modifier of position effect variation (PEV), the variable expression of heterochromatic and euchromatic genes that have been relocated to the vicinity of a novel breakpoint between heterochromatin and euchromatin created by the relocation (Weiler and Wakimoto 1995). HP1 recognises H3 methylated at lysine 9 by the *Drosophila* histone methyltransferase SU(VAR)3-9 (Bannister et al. 2001). According to a model proposed by the same authors to explain subsequent heterochromatin spreading, HP1 binds to methylated H3K9 and recruits SU(VAR)3-9. SU(VAR)3-9 then methylates adjacent histones, which allows HP1 to spread linearly along the chromatin fibre. It has recently been shown for *Drosophila* and mammalian cells that HP1 tethered to a *lac* operator array causes silencing of downstream reporter genes and local chromatin condensation (Danzer and Wallrath 2004; Verschure et al. 2005). However, HP1 also seems to play a role as transcriptional activator (De Lucia et al. 2005 and references therein). HP1 and SU(VAR)3-9

have homologues in fission yeast, Swi6 and Clr4 (Schramke and Allshire 2003). HP1 also has an *Ara-bidopsis* homologue, TFL2 (LHP1), which is involved in, amongst others, the repression of several floral homeotic genes but does not seem to be responsible for the assembly of constitutive pericentromeric heterochromatin (Gaudin et al. 2001; Kotake et al. 2003; Kim et al. 2004; Lindroth et al. 2004). Polycomb group (PcG) proteins are thought to form several distinct complexes that silence genes responsible for developmental regulation in *Drosophila* and mammals. They contain another protein with similarity to HP1, polycomb. Like HP1, it binds methylated histones and the histone methyltransferases responsible for their methylation (Craig 2005). It has recently been shown that core components of one of these complexes compact nucleosomal arrays in vitro and do not require histone tails for their action (Francis et al. 2004).

### Models of higher-order chromatin structure in a functional context

It is possible that different models of higher-order chromatin structure simply describe different nuclear environments and particular stages in the regulation of genes. The nucleosome affinity, random chain model, might be correct for euchromatic regions with very low heterochromatin content and low compaction, where nucleosomal chains can freely explore nuclear space. Chromonema-type fibres might occur in heterochromatic regions through HP1 dimerization and the ability of HP1 to bring distant chromosomal sites into proximity (Li et al. 2003). The latter could also involve loop formation. As mentioned above for the  $\beta$ -globin locus, the formation of loops of less than 100 kb seems to play a role in bringing *cis*-regulatory elements into proximity with the genes they control (De Laat and Grosveld 2003; Kato and Sasaki 2005). Loops could also be formed through the Ikaros-mediated recruitment of silenced genes to heterochromatin. It has been shown that gene-rich euchromatic loops with a length of 0.2 to 2 Mbp emanate from a condensed chromocentre that comprises the few heterochromatic regions on chromosome 4, i.e. the pericentromeric regions and the nucleolus organising region (Fransz et al. 2002). This led van Driel and Fransz (2004) to suggest that interphase chromosomes are organised into transcriptionally active loops around heterochromatic centres. Inasmuch as transcription occurs throughout the chromosome territory, extraterritorial loops of active chromosomal regions might have little functional significance. They are only seen in a proportion of nuclei (Mahy et al. 2002) and could simply be a consequence of decondensation per se while the position of the loop with respect to the territory might not matter. The direction of loops might, however, be influenced by the number, position, availability and mode of assembly of transcription sites throughout the nucleus. If transcription sites turn out to be relatively stationary, preassembled and bound to the nuclear matrix, a protein scaffold present in the nucleus, then chromatin needs to be reeled in and passed through the



**Fig. 2** Model of interactions that keep the chromosome territory together. The model depicts part of a chromosome arm starting with centromeric heterochromatin. (a) Centromeric heterochromatin made up of chromonema fibres and associated with heterochromatin protein 1. (b) Chromatin loops anchored to the protein structure of the nuclear matrix (grey) via matrix attachment regions (MARs; light blue). (c) Translocation of silenced genes to centromeric heterochromatin via the Ikaros protein (dark blue). (d) Interstitial heterochromatin associated with polycomb. (e) Highly-transcribed region with several transcription factories (green). Transcription factories are assumed to be attached to the nuclear matrix and transcribe to a large extent genes belonging to the same chromosome arm, dark purple gene belonging to a different chromosome (Osborne et al. 2004). (f) Active chromatin hub where positive *cis*-regulatory elements are in close proximity to the transcriptionally active gene while intervening inactive genes loop-out

polymerase (Cook 1999; Szentirmay and Sawadogo 2000; Bode et al. 2003). In this case, chromatin will have to be guided to transcription sites and decondensation will be directed. Matrix attachment regions (MARs) are AT-rich sequences that act as anchors to the nuclear matrix. MARs can either form selective and transient or permanent anchors and have been proposed among other functions to facilitate transcription by positioning adjacent genes in the vicinity of the transcriptional machinery (Bode et al. 2003; Heng et al. 2004). It might be the myriad of regulatory and often transient chromatin interactions within a chromosome in addition to MARs–matrix interactions that hold the territory together (Bode et al. 2003; Taddei et al. 2004) (Fig. 2).

### Outlook

Clear differences between heterochromatin and euchromatin are disappearing. There is some evidence that HP1 plays a role in silencing in a euchromatic context, which is currently investigated (Danzer and Wallrath 2004). The importance of the RNAi machinery in silencing of euchromatic genes and heterochromatin will probably only increase. The nature and function of nuclear matrix anchors are still debated (Heng et al. 2004). Final proof of the importance of such anchors and of which enzyme com-

plexes are bound to the matrix may give us a better understanding of functional and structural chromatin loops. Some progress has been made towards elucidating the structure of the 30-nm fibre, but the nature of higher-order chromatin folding is still debated and explained by several very different models without conclusive evidence for any of them. The discovery of histone-binding proteins, such as MENT and PcG complexes that contribute to chromatin condensation and in vitro studies of their mode of action, may be the clue to chromatin structure within the interphase nucleus (Springhetti et al. 2003; Francis et al. 2004).

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